

02/11/02

2-13-02

A

PATENT APPLICATION TRANSMITTAL LETTER

(Small Entity)

Docket No.

7385-83653

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Steven Nelson et al.

For: OPTICAL ATTENUATING UNDERCHIP ENCAPSULANT

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL854694364US
- ☒ 4 sheets of drawings.
- ☐ A certified copy of a application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☐ Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☒ Other: Specification, Claims and Abstract (13 pages)

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	27	- 20 =	7	x \$9.00	\$63.00
Indep. Claims	4	- 3 =	1	x \$42.00	\$42.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$370.00
TOTAL FILING FEE					\$475.00

- ☒ A check in the amount of \$475.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0920 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: February 11, 2002

Signature

cc:

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$515.00

Complete If Known

Application Number

Filing Date

First Named Inventor

Steve Nelson

Examiner Name

Group Art Unit

Attorney Docket No.

7385-83653

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

23-0920

Deposit Account Name

Welsh & Katz, Ltd.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		101 740	201 370	Utility filing fee	370.00
		106 330	206 165	Design filing fee	
		107 510	207 255	Plant filing fee	
		108 740	208 370	Reissue filing fee	
		114 160	214 80	Provisional filing fee	
SUBTOTAL (1)					\$370.00

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims	Fee from below	Fee Paid
Total Claims 27 - 20** = 7	X 9.00 =	63.00
Independent Claims 4 - 3** = 1	X 42.00 =	42.00
Multiple Dependent		

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
		103 18	203 9	Claims in excess of 20
		102 84	202 42	Independent claims in excess of 3
		104 280	204 140	Multiple dependent claim, if not paid
		109 84	209 42	** Reissue independent claims over original patent
		110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$105.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		105 130	205 65	Surcharge - late filing fee or oath	
		127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
		139 130	139 130	Non - English specification	
		147 2,520	147 2,520	For filing a request for ex parte reexamination	
		112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
		115 110	215 55	Extension for reply within first month	
		116 400	216 200	Extension for reply within second month	
		117 920	217 460	Extension for reply within third month	
		118 1,440	218 720	Extension for reply within fourth month	
		128 1,960	228 980	Extension for reply within fifth month	
		119 320	219 160	Notice of Appeal	
		120 320	220 160	Filing a brief in support of an appeal	
		121 280	221 140	Request for oral hearing	
		138 1,510	138 1,510	Petition to institute a public use proceeding	
		140 110	240 55	Petition to revive - unavoidable	
		141 1,280	241 640	Petition to revive - unintentional	
		142 1,280	242 640	Utility issue fee (or reissue)	
		143 460	243 230	Design issue fee	
		144 620	244 310	Plant issue fee	
		122 130	122 130	Petitions to the Commissioner	
		123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
		126 180	126 180	Submission of Information Disclosure Statement	
		581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
		146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
		179 740	279 370	Request for Continued Examination (RCE)	
		169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3)

\$40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Jon P. Christensen	Registration No. (Attorney/Agent)	34,137	Telephone	312-655-1500
Signature		Date	February 11, 2002		

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Certificate of Express Mailing

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Date of Deposit: February 11, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231. The person mailing this paper is:

Fred Franks

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